APACEntities

RECRUITMENT DATA PROTECTION NOTICE

Effective: 1 February 2023

I. INTRODUCTION

One or more of the entities set out in <u>Appendix B</u> (being the legal entity/ies to which the applicant is applying) (the "Company") has prepared this Recruitment Data Protection Notice ("Notice") to outline their practices regarding the collection, use, storage, transfer and other processing of individually identifiable information collected from or about Applicants ("Personal Data"). "Applicant" means any individual who submits Personal Data or about whom Personal Data has been acquired in order to be considered for an actual or potential job vacancy or who attends or applies to attend a career event.

In the event this Notice is provided to an Applicant in a language other than English, any discrepancy, conflict or inconsistency between the two language versions shall be resolved in favor of the English version (subject to applicable law).

II. PERSONAL DATA COLLECTION AND PURPOSES OF USE

Good recruitment and talent management practices and the effective running of our business require the Company to collect, use, store, transfer and otherwise process certain Personal Data.

The Company collects Personal Data that is directly relevant to its business, required to meet its legal obligations, or otherwise permissible to collect under applicable law. Listed in <u>Appendix A</u> of this Notice are the categories of Personal Data that we collect and the purposes for which we use the data that we collect except where restricted by applicable law. We receive Personal Data from you and from other sources, such as referees, background check providers and public sources.

We collect and process Personal Data about you: (i) because we are required or permitted to do so by applicable law, (ii) because such information is necessary for the performance of your future contract of employment if successful at recruitment stage or in order to take steps at your request prior to entering into that contract, (iii) because such information is of particular importance to us and we have a specific legitimate interest under applicable law to process it (iv) where public interest requires it, (v) where the Personal Data is necessary for the establishment, exercise or defence of legal claims, (vi) where necessary to protect the vital interests of you or another person, or (vii) where necessary, we obtain consent of Applicants for collection and processing of Personal Data.

The Company does not use the Personal Data of any Applicant for direct or indirect marketing purposes, except where the Company obtains the Applicant's express consent to do so and provides the Applicant with the subsequent right to object at any time and at no charge to the use of Personal Data for direct or indirect marketing purposes. However, non-essential cookies are collected on some sites that the company uses. Please refer to the following policy.

Sensitive personal data

The Company may collect and process certain special or other significant categories of Personal Data ("Sensitive Personal Data") about Applicants where required by applicable law, where necessary for the establishment, exercise or defence of legal claims, or, where necessary, the Applicant has provided explicit consent. Subject to applicable law, the Company may process information about:

- physical and/or mental health for the purposes of addressing potential workplace health, safety and accommodation issues and assessing absence data during the recruitment process
- criminal charges/convictions or unlawful behaviour for recruitment and pre-employment screening purposes
 and for the assessment of registration and licensing requirements
- Data related to sexual orientation, race and/or ethnic origin, gender, gender identity and gender expression, physical and/or mental health for purposes of reporting on diversity and inclusion statistics, complying with government reporting requirements, and/or other legal obligations
- biometric data, such as fingerprints and iris scans, for the purposes of electronic identification, authentication and corporate security, at secured Company premises

PERSONAL DATA ABOUT OTHER INDIVIDUALS

If an Applicant provides the Company with Personal Data about other individuals (e.g., individuals listed by Applicants as referees), it is the Applicant's responsibility to inform such individuals of their rights (see Sections VII and IX) and to obtain their explicit consent, where necessary under applicable law, to the processing (including transfer) of that Personal Data as set out in this Notice.

MEANS OF COLLECTION AND USE

During and in connection with the recruitment and talent identification process and in order to be considered for actual and potential job vacancies the Company may collect Personal Data directly from Applicants through the completion and submission of online application forms and profiles, through resumes or curricula vitae, or through interviews or other communications (both verbally and in writing) with Applicants. The Company may also collect Personal Data from other sources, including specialist third party providers of recruitment services and publicly available sources. Personal information will be collected to the extent permitted by applicable law and as appropriate for the actual or potential job vacancy or career event for which the Applicant is seeking to be considered.

Collection, use, processing, disclosure or international transfer of Personal Data may be by automated or manual means, including by hard-copy or soft-copy documents or other appropriate technology.

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III. DATA STORAGE AND ACCESS BY COMPANY PERSONNEL

The Company maintains an automated record of the Personal Data of the Applicant. The Company may also maintain hard-copy records on Applicants. The Company maintains these records in a secure environment including in the Company's HR Information System and other applicant tracking systems. Personal Data may be stored in the Applicant's home jurisdiction and/or in other jurisdictions in which the Company has operations.

Where permitted by applicable law, access to Personal Data is restricted to those individuals who need such access for the purposes listed in <u>Appendix A</u>, including but not limited to members of the Human Resources Department and the managers involved in the recruitment process, and to authorized representatives of the Company's internal control functions such as Compliance, Chief Administrative Office, Information Security, Corporate Security, Audit and Legal. Access may also be granted, on a strict need-to-know basis, to others where permitted by applicable law.

IV. DISCLOSURE AND INTERNATIONAL TRANSFERS OF PERSONAL DATA AND SENSITIVE PERSONAL DATA

Given the global nature of the Company's activities, the Company may (subject to applicable law and for the purposes described in this Notice) transmit Personal Data, including Sensitive Personal Data, to other Bank of America affiliates or operations located in other jurisdictions, including the United States or other jurisdictions where data protection laws may not provide an equivalent level of protection to the applicable law in the Applicant's home jurisdiction. A list of affiliates belonging to the Bank of America Corporation group is available upon request.

The Company may disclose in accordance with applicable law relevant Personal Data to certain third parties in connection with the provision of services to the Company. Where the processing of Personal Data is delegated to a third party data processor, such as those listed in <u>Appendix A</u>, the Company will delegate such processing in writing, will choose a data processor that provides sufficient guarantees with respect to technical and organisational security measures, such as data protection and information security requirements, governing the relevant processing and will ensure that the processor acts on the Company's behalf and under the Company's instructions.

Personal Data also may be disclosed, where permitted by applicable law, in connection with a corporate restructuring, sale, or assignment of assets, merger, divestiture, or other changes of the financial status of the Company or any of its subsidiary or affiliated companies. Personal Data also may be released to protect the legitimate interests of the Company (unless this would prejudice the rights and freedoms or interests of the Applicant), or in the Company's judgement to comply with applicable legal or regulatory obligations and regulatory inquiries or requests.

If you are located in the EEA, the Company may transfer your Personal Data to countries located outside of the European Economic Area ("EEA"). Some of these countries are recognized by the European Commission as providing an adequate level of protection according to EEA standards (the full list of these countries is available within the European Commission site here). With regard to transfers from the EEA to other countries, we have put in place

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adequate measures, such as standard contractual clauses adopted by the European Commission to protect your information. Persons in the EEA may obtain a copy of these measures by by using the European Commission site here.

V. SECURITY

The Company maintains appropriate technical and organisational measures designed to protect against unauthorised or unlawful processing of Personal Data and/or against accidental loss, alteration, disclosure or access, or accidental or unlawful destruction of or damage to Personal Data.

VII. ACCESS, PORTABILITY, RECTIFICATION AND SUPPRESSION, LIMITATION AND RESTRICTION OF PROCESSING AND ACCURACY OF PERSONAL DATA

Applicants are entitled to access Personal Data held about them (with the exception of any documents that are subject to legal privilege, that provide Personal Data about other unrelated individuals, or that otherwise are not subject to data subject access rights). Any Applicant who wishes to access his/her Personal Data or (where permitted under applicable law) request portability of their data should contact a member of the Global Talent Acquisition group using the contact information set out in Section X below.

To the extent required by applicable law, Applicants have the right to have inaccurate data corrected or removed (at no charge to the Applicant and at any time).

To assist the Company in maintaining accurate Personal Data, Applicants must ensure they keep their Personal Data up to date on the Company's online recruitment system or by informing the Global Talent Acquisition group via: internationaltalentacquisitionoperations@bofa.com. In the event that the Company becomes aware of any inaccuracy in the Personal Data it has recorded, it will correct that inaccuracy at the earliest practical opportunity.

To the extent available under applicable law, Applicants may also have the following rights (including but not limited to):

- to request a copy of Personal Data held by the Company (as part of an access request above);
- to request further information or complain about the Company's practices and processes regarding their Personal
 Data;
- to object to, withdraw consent to, restrict or request discontinuance of collection, use, disclosure and other
 processing of their Personal Data as described in this Notice and to request deletion of such Personal Data by the
 Company.

For all enquiries, Applicants should contact a member of the Global Talent Acquisition group using the contact information set out in Section X below. Under applicable law, in certain circumstances, the Company may be exempt

from or entitled to refuse the above requests or rights. Certain additional terms and conditions may be applicable to process requests or rights, such as requiring communications to be in writing or requiring proof of identity.

VIII. MODALITIES OF THE PROCESSING AND DATA RETENTION

The processing of Personal Data is carried out with the aid of manual and electronic tools.

The Company will maintain your Personal Data for as long as it is required to do so by applicable law or for as long as necessary for the purpose(s) of use and processing in Section II, whichever is longer ("Initial Retention Period"). With the exception of the countries listed below, if your application is unsuccessful your Personal Data will be retained for an Initial Retention Period of 6 months.

In addition the Company will, with your consent, retain your Personal Data after the expiry of the Initial Retention Period in its talent management database so that it may contact you with details of suitable positions that arise and which may be of interest to you. If you do not wish the Company to retain your Personal Data in its talent management database after the expiry of the Initial Retention Period you may request that your Personal Data be deleted. You may make this request at any time, but your request will not be actioned until the Initial Retention Period has expired. With the exception of the countries listed below, if your application is unsuccessful, unless you do not consent to your Personal Data being retained by the Company in its talent management database or you subsequently request that your Personal Data be deleted, your Personal Data will be retained for a total of 2 years after the date of last recorded contact with you.

Any maximum storage term set forth by applicable law will prevail. The Company will delete Personal Data after the applicable retention period.

Country	Period of retention
Germany	6 months
Netherlands	1 year

If your application is successful, your application is retained as part of your personnel record.

IX. OTHER RIGHTS AND CONSEQUENCES

To ensure good recruitment and talent management practices and the effective running of the Company's business, it is mandatory for the Company to collect, use, store, transfer and otherwise process the Personal Data marked with an asterisk in Appendix A (unless otherwise indicated during the application process). It is voluntary for Applicants to provide other types of Personal Data and information about themselves.

To the extent available under applicable law, Applicants have the right to object to the collection, use, storage, transfer or other processing of Personal Data as described in this Notice, the right to withdraw consent to or request discontinuance of collection, use, storage, transfer or other processing of Personal Data as described in this Notice,

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and to request deletion of such Personal Data. However, objections to the collection, use, storage, transfer or other processing of Personal Data, withdrawals of consent, requests for discontinuance and requests for deletion may affect the Company's ability to consider an Applicant for an actual or potential job vacancy or career event and to process a related application for employment to the extent that the purposes set out in this Notice cannot be achieved.

Any Applicant who wishes to object to the collection, use, storage, transfer or other processing of Personal Data as described in this Notice, to withdraw consent, to request discontinuance or to request deletion should contact a member of the Global Talent Acquisition group using the contact information set out in Section X below.

Under applicable law, in certain circumstances, the Company may be exempt from or entitled to refuse the above requests or rights. Certain additional terms and conditions may be applicable to process requests or rights, such as requiring communications to be in writing or requiring proof of identity.

X. QUESTIONS

Should any Applicant have any questions, concerns or complaints about this Notice, please contact a member of the Global Talent Acquisition group via: internationaltalentacquisitionoperations@bofa.com

The Company will make every effort to resolve any questions, concerns or complaints promptly and in accordance with applicable law.

You may have the right to lodge a complaint with the Data Protection Authority in your country.

In certain countries, if you have additional queries about the way in which the Company processes your Personal Data more broadly) you may contact your local Data Protection Officer using the following contact details:

For APAC countries: connect.dpo@bofa.com

XI. CHANGES TO THIS NOTICE

The most up-to-date Notice is posted to the Bank of America Careers Website.

XII. JURISDICTION-SPECIFIC CLAUSES

THAILAND

Please refer to Appendix A for more information about the purposes and lawful bases for our collection, use, or disclosure, of your personal data.

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Consent of the Notice

I have read the "Recruitment Data Protection Notice" dated 1 February 2023. I expressly and voluntarily consent to the application of its terms regarding the collection, processing, use, and international transfer of my Personal Data (and Sensitive Personal Data) by the Company whilst acknowledging that data protection laws in other jurisdictions to which my Personal Data (and Sensitive Personal Data) is being transferred to may not provide the equivalent level of protection to the laws of my home jurisdiction during and in connection with the course of my application.

I confirm that, prior to providing any Personal Data of other individuals to the Company (if applicable), I have duly provided them with all information regarding the processing of their Personal Data and their related rights, as described in the Notice and that I have obtained the explicit (and, where applicable, written or separate) consent of those individuals, where necessary, to the processing of their Personal Data.

By clicking Submit on the Application, you have consented to this Notice.

Appendix A

"We" or "our" refer to BofA legal entities that are data controllers; "you" or "yours" refers to the applicant.

The table below contains the purpose for which we may process your personal data, the types of processing activities that may take place and the category of personal information that would be used for such processing, as well as the legal basis for the processing. More information is listed below the table about the personal information categories.

Purpose	Examples of processing activities	Personal Information Categories	Legal Basis
Recruitment/App licant information	Employment history*; Employer name*; language(s) spoken*; previous compensation*; Pre-employment references, voice and video recording	 Applicant information Job history Visa/Citizenship Contact details 	 Necessary for performance of a contract Legitimate interest
Employment and Job Information	Internal reporting and data analytics	 Applicant information Contact details Job title and/or position and description of responsibilities/duties Location Band/seniority Job information 	 Necessary for performance of a contract Legal compliance Legitimate interest
Personal Demographic Information	Internal reporting and data analytics, relating to diversity and inclusion	 Contact Details Date and birth place Gender Name Drivers License 	Consent Legitimate interest
Diversity and Inclusion	Internal reporting and data analytics, relating to diversity and inclusion	 Gender Gender identity Gender expression, Socio-economic background 	Consent Legitimate interest
Visa/Citizenship Details	Visa processing requests	Contact DetailsVisa status details	 Necessary for performance of a contract Legal compliance Legitimate interest
Contact Details	Recruitment activity processing and emergency contact detail if required	Contact details	 Necessary for performance of a contract Legitimate interest
Expenses	Reimbursement of costs incurred for recruitment process where applicable	Contact detailsBank details	 Necessary for performance of a contract Legitimate interest
Applicant Administration	Applicant tracking records and query management records*; voice recording and video recording; Reference letters; query management records	Contact details	 Necessary for performance of a contract Legitimate interest

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Purpose	Examples of processing activities	Personal Information Categories	Legal Basis
Absence Data	Absence details e.g. sickness	Contact details	 Necessary for performance of a contract Legitimate interest
Physical Security and Life Safety Data	Swipe card entry data; CCTV; photograph (Security ID Card where applicable); accident and incident reporting; Biometrics	Contact details	 Necessary for performance of a contract Legal compliance Legitimate interest
Compensation) Reporting and data analytic purposes	 Job information Contact details Compensation Information	 Necessary for performance of a contract Legitimate interest
Education and Training	Academic and educational record*, professional qualifications* and memberships; professional training* Qualifying suitability for opportunities within the Bank	 Job information Contact details Academic and educational record professional qualifications* and memberships professional training* 	 Legal Compliance Necessary for performance of a contract Legitimate interest
Regulatory Data (where applicable):	Reporting and data analytic requirements for regulated functions	 Licenses and certifications financial or other regulatory registration* 	 Legal Compliance Necessary for performance of a contract Legitimate interest
Sensitive Personal Data	Required to make reasonable adjustments in the Recruitment Process criminal charges/convictions or unlawful behaviourbehavior for recruitment and preemployment screening purposes and for assessment of registration and licensing requirements, biometric data, such as fingerprints and iris scans, for the purposes of electronic identification, authentication and corporate security, at secured Company premises	 Information regarding physical and/or mental health sexual orientation, race and/or ethnic origin 	Consent Legitimate interest

The Categories of Personal Data We May Collect, Use, Transfer and Disclose, unless restricted by applicable law:

- Business Travel Information: Visa details, travel logs, and itineraries
- **Compensation Information:** Previous compensation, base salary, market rates, incentive payment(s), stock options and allowances
- **Diversity and Inclusion Data:** Race*, ethnicity*, veteran status, age, disability status, citizenship, nationality, sexual orientation*, gender, gender identity, and gender expression. (*Sensitive Personal Information)
- **Employment Information:** Employment dates, termination details, query management records, flexible working requests
- Image/Video/Voice Recording: Video recording, CCTV, photograph, voice recording/data
- Information you provide about Family and Friends: Dependent full names and date(s) of birth, emergency
 contact details, name and age of child/children collected through parents or guardians attending events,
 dietary requirements of individuals attending an event

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- **Job Information:** Job title and/or position and description of responsibilities/duties, job family, location, band/seniority, Employee Identifiers (e.g., Person Number), department, line and sub-line of business, local Company entity name, cost centre information, supervisor/manager/team lead name and contact information, reporting structure, work contact information
- Outside Business Activities: Details of outside business activities and directorships (where relevant for employment-related purposes)
- Personal Contact Information: Address, Telephone, email, full address
- Signatures: Including digital images and physical copies
- **Skills and Qualifications:** Pre-employment references, employment history, language(s) spoken, reference letters, academic record, professional qualifications and memberships, professional training, company internal training, licenses and certifications, financial regulatory registration, areas of expertise general management experience, leadership behavior
- **Talent and Succession Planning Data**: Mobility preferences, date assigned to a talent pool, talent pool name and description, strengths, and development needs
- **Virtual or In Person Events Data:** Speaker biographies, travel details, spouse/partner name, special assistance needs of individuals attending an event, name and age of child/children collected through parents or guardians, dietary requirements of individuals attending an event
- **Visa/ Citizenship Information:** Work eligibility status, entitlement to residency, citizenship, visa details, passport details

Third Parties With Whom We May Share Personal Information

To the extent permitted by applicable law and as appropriate to achieve the purposes described in this Notice, Personal Data may be disclosed by the Company as outlined in the table below and, where applicable, in accordance with Section: 'Jurisdiction Specific Clauses' of this Notice.

Type of Recipient	Personal Information Categories Any of the Personal Information Categories listed in this notice may be shared with these recipients unless restricted by applicable law, including
Service Providers: Companies that provide products and services to the Company in the countries in which the Company operates, such as Recruitment Activities and BackgroundChecks	 Talent and Succession Planning Data Skills and Qualifications Confidential Unique Identifiers Diversity and Inclusion Data Work Performance/ Development Information Compensation Information Employment Information Job Information Outside Business Activities Personal Contact Information Information you provide about Family and Friends Business Travel Information Signatures

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Public and Governmental Authorities: Entities that regulate or have jurisdiction over the Company in the countries in which the Company operates, such as regulatory authorities, law enforcement, public bodies, licensing and registration bodies, judicial bodies and third parties appointed by such authorities	 Visa/ Citizenship Information Outside Business Activities
Where appropriate, the Company will offer and receive reasonable assistance from third parties (such as service providers) in order to respond to data subject access requests	Personal Contact Information
Event Vendors: Event vendors, organizers, speakers, volunteers, contractors, and sponsors to facilitate events	Image/Video/Voice Recording Virtual or In Person Events Data

Appendix B

Country	Legal Entity
	BA ELECTRONIC DATA PROCESSING (GUANGZHOU) LTD.
	BANK OF AMERICA, NATIONAL ASSOCIATION - BEIJING BRANCH
	BANK OF AMERICA, NATIONAL ASSOCIATION - GUANGZHOU BRANCH
	BANK OF AMERICA, NATIONAL ASSOCIATION - SHANGHAI BRANCH
	MERRILL LYNCH INTERNATIONAL, LLC - BEIJING OFFICE
China	MERRILL LYNCH INTERNATIONAL, LLC - SHANGHAI OFFICE
	BANK OF AMERICA SECURITIES ASIA LIMITED
	BANK OF AMERICA, N.A HONG KONG BRANCH
Hong Kong	MERRILL LYNCH (ASIA PACIFIC) LIMITED
	BANK OF AMERICA SECURITIES INDIA LIMITED
	BANK OF AMERICA NATIONAL ASSOCIATION - INDIA
India	BA CONTINUUM INDIA PRIVATE LIMITED
	BANK OF AMERICA, N.A – CABANG JAKARTA
Indonesia	PT MERRILL LYNCH SEKURITAS INDONESIA
	BANK OF AMERICA, NATIONAL ASSICATION - TOKYO BRANCH
Japan	BANK OF AMERICA SECURITIES JAPAN CO. LIMITED
	BANK OF AMERICA, NATIONAL ASSOCIATION - 서울지점
South Korea	MERRILL LYNCH INTERNATIONAL, LLC – 서울지점
	BANK OF AMERICA MALAYSIA BERHAD
	PERSATUAN KEBANGSAAN, BANK OF AMERICA - CAWANGAN LABUAN
Malaysia	MERRILL LYNCH MALAYSIAN ADVISORY SDN. BHD.
Philippines	BANK OF AMERICA, NATIONAL ASSICATION - MANILA BRANCH
	BANK OF AMERICA, N.A SINGAPORE BRANCH
	MERRILL LYNCH (SINGAPORE) PTE. LTD.
	MERRILL LYNCH GLOBAL SERVICES PTE. LTD.
Singapore	MERRILL LYNCH MARKETS SINGAPORE PTE. LTD.
	BANK OF AMERICA, NATIONAL ASSOCIATION - 台北分行
Taiwan	MERRILL LYNCH SECURITIES (TAIWAN) LTD.
	BANK OF AMERICA, N.A BANGKOK BRANCH;
Thailand	MERRILL LYNCH SECURITIES (THAILAND) LIMITED

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